

APPENDIX A

Chancellor's Office, California Community Colleges

GRANT AGREEMENT

ARTICLE I

The Economic and Workforce Development Program

Fiscal Agent for INNOVATIONMAKER3 and STEM/STEAM Workforce Development

Program-Specific Legal Terms and Conditions

Effective April 27, 2016

1. Cost and Payments

a. The Economic and Workforce Development Program

In consideration of satisfactory performance of the services described in the Grantee's application, the California Community Colleges Chancellor's Office (hereinafter Chancellor's Office) agrees to pay the Grantee a total amount not to exceed the "Grant Funds" amount stated on the fully executed Grant Agreement face sheet, which shall be used as set forth in the Application Budget. Payment shall be made as follows:

- An advance payment of 40% of the total amount of this Grant Agreement will be paid as soon as feasible after the Grant Agreement is fully executed.
- Grantee may submit request for progress payments at the time that year-to-date quarterly and expenditures reports are submitted pursuant to section 4 of this Article. Payment will be made after review and approval of the quarterly reports by the Chancellor's Office. Progress payment(s) can only be made up to 90% of the total grant amount as the last 10% is withheld pending satisfactory performance and submittal of final performance and expenditure reports.

- A final payment will be calculated based on the Final Performance and Expenditure Reports due by July 31, 2019. If the total expenditure of funds by that date is less than the advance payment, the Chancellor's Office may invoice the Grantee for the excess amount.
- Grantee agrees to expend matching funds at least equal to the match identified on the face sheet of this Grant Agreement. Payments shall be based on project costs reduced to the extent of required matching funds.

b. CARL D. PERKINS TECHNICAL EDUCATION ACT FEDERAL FUNDS

Federal funds under the Act flow to the Department of Education, and are divided between secondary and postsecondary education through an Interagency Agreement jointly agreed to by the State Board of Education and the Board of Governors. Carl D. Perkins federal funds are divided into three categories. Eighty-five percent of the funds must go directly to the community colleges as local assistance funds. They are appropriated by formula based upon the number of Career Technical Education enrollments at the college. Five percent of the funds may be used by the Chancellor's Office for State administrative activities, referred to as indirect funds, and ten percent of Perkins funding is used for State leadership activities, referred to as Perkins 1B funds. The required State leadership activities include:

- Developing, improving, or expanding the use of technology in vocational and technical education;
- Professional development programs, including providing comprehensive professional development (including initial teacher preparation) for vocational and technical, academic, guidance, and administrative personnel;
- Support for vocational and technical education programs that improve the academic, and vocational and technical skills of students participating in vocational and technical education programs by strengthening the academic, and vocational and technical components of such vocational and technical education programs through the integration of academics with vocational and technical education to ensure learning in the core academic, and vocational and technical subjects;
- Preparation for nontraditional training and employment; "(6) supporting partnerships among local educational agencies, institutions of higher education, adult education providers, and, as appropriate, other entities, such as employers, labor organizations, parents, and local partnerships, to enable students to achieve State academic standards, and vocational and technical skills;
- Service to individuals in State institutions, such as State correctional institutions and institutions that serve individuals with disabilities; and

- Support of programs for special populations that lead to high skill, high wage careers, an assessment of the vocational and technical education programs carried out with funds under this title that includes an assessment of how the needs of special populations are being met and how such programs are designed to enable special populations to meet State adjusted levels of performance and prepare the special populations for further learning or for high skill, high wage careers;

c. CAREER TECHNICAL EDUCATION PATHWAYS PROGRAM

The Career Technical Education Pathways program was originally funded as Senate Bill 70 in 2010. The funding supports the Career Technical Education Pathways Program which requires the Chancellor of the California Community Colleges and the Superintendent of Public Instruction to assist economic and workforce regional development centers and consortia, community colleges, middle schools, high schools, and regional occupational centers and programs to improve linkages and career technical education pathways between high schools and community colleges to accomplish the following goals:

- Increase the readiness of middle school and high school pupils for, and their access to postsecondary education and careers in high-need, high-growth, or emerging regional economic sectors;
- Increase student success in postsecondary education and training for careers in high-need, high-growth, or emerging regional economic sectors;
- Align existing postsecondary technical preparation programs with high school career technical education curriculum to ensure seamless transitions for pupils; and
- Increase attainment of industry-recognized certificates through community college and high school career technical education programs in high-need, high-growth, or emerging regional economic sectors.

The 2016-17 proposed budget, Section 16, shifts these funds to Economic and Workforce Development Section 16 to support the Career Technical Education Pathways Program and to align with the implementation of the Strong Workforce Program.

2. Feedback Process

The Grantee shall participate fully in the Chancellor's Office feedback process. The results of this Feedback Process will be used to assess the performance of the grantee and can include information in whatever form and from any or all stakeholders identified by the Chancellor's Office. Failure to participate in any aspect on this process may result in the early termination of the grant in accordance to Article II, provision 22 (b). The CCCCO anticipates the feedback process will likely be a 360 Degree Process.

3. Role of Supervisor-of-Record

In consideration of satisfactory performance of the services and objectives described in the Grantee's application, the grantee application shall identify a "Supervisor-of-Record". The Supervisor-of-Record shall, in order for the Grantee to be deemed performing satisfactorily, perform and ensure the following:

- Adherence to all provisions in Articles I and II;
- In the cases of Deputy Sector Navigator grants, all required minimum qualifications are met;
- Assure and provide documentation demonstrating, when requested by the Chancellor's Office, that all Key Talent including Deputy Sector Navigators, Sector Navigators, Regional Consortia Chairs, and Technical Assistance Providers are employed full-time (100%) exclusively to perform the duties and activities specific to this grant.
- Key Talent is on-boarded regarding the Chancellor's Office expectations in performance of this grant, as provided in the memo dated April 1, 2016 and Appendix G.
- In keeping with Article II, provision 16, for grants intended to serve a region specified by the Chancellor's Office, regular consultation with the colleges in the specified region, or a consortium representing those colleges, to ensure the grant is conducted in a manner that reflects the needs of the colleges to accomplish objectives consistent with this regional grant; and
- The Grantee's key talent, and other necessary personnel, participates in the Chancellor's Office Feedback Process. Participation includes, in consultation with the Chancellor's Office, the development of a process that addresses improvements needed in the performance of the grant, as identified in the eventual feedback process.

3. Budget Changes

- Grant recipient(s) may make changes to any budget category amounts without the approval of the Project Monitor so long as budget categories are not added or deleted, the total dollar amount of the Grant Agreement is not affected, and the outcomes of the Grant Agreement will not be materially affected.
- Adding or deleting budget categories are subject to the prior approval of the Project Monitor. Prior approval for these additions and/or deletions is made through the Chancellor's Office on-line quarterly reporting system. Once the requested change is approved, the affected quarterly budget will be updated electronically.
- Grant amendments are required for budget changes when there are changes in the total dollar amount of the Grant Agreement and/or the outcome of the Grant Agreement is materially affected.

3. Application Amendment Requests

If circumstances occur during the performance period that would materially affect outcomes(s) of the approved Grant Application, the grant recipient is required to contact the Project Monitor for further instructions.

4. Reporting

a. Economic and Workforce Development Program

The Recipient shall prepare and submit to the Division of Workforce and Economic Development quarterly “Year-to-Date Expenditure and Progress Reports” using the online reporting system at:

<https://misweb02.cccco.edu/econdev/prod/logon.cfm>

These reports are due on or before the following dates:

- **Year One**
- 1st Quarter – September 2nd
- 2nd Quarter – December 2nd
- 3rd Quarter – March 2nd
- 4th Quarter – June 2nd

NOTE: If the above reporting dates fall on a weekend or a holiday the report shall be due by close of business on the last working day **prior to the reporting deadline**. Extensions of reporting deadlines require written approval of the Project Monitor.

The Final Performance and Expenditure Report must be received by the Division of Workforce and Economic Development no later than August 31st of the Grant Agreement performance term.

b. Perkins IV, Title I, Part B

The Recipient shall prepare and submit to the Division of Workforce and Economic Development quarterly “Year-to-Date Expenditure and Progress Reports” using the online reporting system at:

<https://misweb02.cccco.edu/vteaib/prod/logon.cfm>

These reports are due on or before the following dates:

- **Year One**
- 1st Quarter – September 2nd
- 2nd Quarter – December 2nd
- 3rd Quarter – March 2nd
- 4th Quarter – June 2nd

NOTE: If the above reporting dates fall on a weekend or a holiday the report shall be due by close of business on the last working day prior to the reporting deadline. Extensions of reporting deadlines require written approval of the Project Monitor.

The Final Performance and Expenditure Report must be received by the Division of Workforce and Economic Development no later than August 31st of the Grant Agreement performance term.

5. The Chancellor's Office reserves the right to evaluate a grantees' performance as follows:
 - Ability to affect Student Momentum Points and Leading Indicators of Curriculum Alignment to Labor Market Needs;
 - Feedback from Quality of Service Measures using a CCCCO-provided evaluation instrument; and,
 - The results of an evaluation conducted by the Chancellor's Officer with the input and recommendations from stakeholders as determined by the Chancellor's office

6. In addition to section 7 of Article II, in regards to Technical Assistance Provider sub-grants, grantees may change the Project Director or other key personnel but must notify the Chancellor's Office of a Key Talent vacancy and a timeline of when to position will be filled within five (5) days. Before a new Key Talent is hired the Grantee shall await approval of the Chancellor's Office to verify the candidate meets minimum qualifications The Grantee is required to ensure and hire only Key Talent with stipulated Minimum Qualifications. The Chancellor's Office reserved the right to withhold the continuation or awarding of a grant if it determines the proposed Key talent does not meet the Minimum Qualifications.

7. In the event a Grantee is required to hire a new Key Talent staff person during the term of the grant, the Workforce and Economic Development Division reserve the right to delegate a representative for the Chancellor's Office to serve on the hiring committee for the Key Talent position.